International Standards and Conformity Assessment Support GRP

February 1, 2023

Medical Device Regulatory Convergence Project (MDRC) Workshop on Good Regulatory Practices and its implementation in the Medical Device Sector Africa - MDRC Project Countries



Jessica Roop, Senior Manager, International Policy for the America National Standards Institute (ANSI)



Key Terms

- Standards
 - Market-driven product and service specifications, established by consensus and approved by a recognized body that provides for common and repeated use (e.g., technical requirements, management systems, etc.)

Technical Regulations

- **Mandatory** technical specifications, which may include particular standards or conformity assessment procedures
- Conformity Assessment
 - **Processes and systems** used to verify the compliance of a product, person, process or system to either a standard or a regulation (e.g., testing, certification)
 - Accreditation is the assessment of the competence of conformity assessment bodies, and may be included in "conformity assessment"

What is consensus?

Substantial agreement has been reached by directly and materially affected interest categories. This signifies the concurrence of more than a simple majority, but not necessarily unanimity.

Consensus requires that all views and objections be considered, and that an effort be made toward their resolution and to reconcile any conflicting arguments.

International obligations: WTO TBT Agreement

- The World Trade Organization (WTO) Technical Barriers to Trade (TBT) Agreement ensures that regulations, standards, and testing and certification procedures do not create unnecessary obstacles to trade.
 - Notification
 - International standards and recognition
 - Non-discrimination
 - Reasonable time to implement

Guiding Principles: WTO TBT Agreement

- Transparency
- Openness
- Impartiality
- Effectiveness and relevance
- Flexible
- Consensus

- Performance-based
- Coherence
- Due Process
- Technical Assistance
- Timely
- Balanced



WORLD TRADE ORGANIZATION

WTO TBT Annex 3: Code of Good Practice for the Preparation, Adoption, and Application of Standards

- Key provisions:
 - Standards should not act as technical barriers to trade
 - Preference for international standards
 - Avoid duplication and overlap, strive for consensus
 - Publish a work program
 - Allow for a 60-day comment period
 - Take comments into account
- ANSI has accepted the CGP on behalf of its accredited SDOs.

Code of Good Practice: Substantive Provisions

Clause	Summary
F	Use international standards as a basis, except where inappropriate or ineffective
G	Play a full part in standards development of relevant international standardizing bodies
н	Make every effort to avoid duplication of work of other standardizing bodies (national or international)
I	Preference of performance-based product requirements over design- based or descriptive characteristics
J/K (P)	Publication of a work programme every 6 months
L/M/N	Allow public comment period of at least 60 days for draft standards; provide copy of draft standard upon request; take comments into account and reply promptly to other signatories to the Code
0	Promptly publish standards once adopted
Q	Afford opportunity for consultation on the operation of the Code



International Standards

- Standards should meet societal and market needs and should not be developed to act as barriers to trade
- Standards that meet the WTO TBT principles are "international standards", regardless of the organization that developed
- Code of Good Practice TBT Agreement, Annex 3

What are ANSI-accredited SDO's?

 ANSI accreditation of SDOs and U.S. TAGs promotes alignment with the WTO's Internationally Recognized Principles for Standards Development

ANSI Essential Requirements for the development of American National Standards World Trade Organization Technical Barriers to Trade Agreement



Openness Transparency Due Process Consensus

What is ISO?



- The International Organization for Standardization (ISO):
 - non-governmental organization
 - established in 1947
 - Based in Geneva, Switzerland
 - Federation of national standards bodies from more than 165 countries, of which include many NSBs in the Africa region.
 - One body representing each country







- The International Electrotechnical **Commission** (IEC)
 - not-for profit, quasi-governmental organization
 - located in Geneva, Switzerland.
 - Founded in 1906 specializing in the electrical and the electronic products field.
- The IEC's members (per country member structure) are National Committees.

Q Ghana IEC Associate Member + General TC/SC Membership TC/SC Secretariat Votes ٥ en fr Participating Member 4 Total 5 Observer Member 1 0 TC/SC Membership ŵ Work Description <u></u> P/O Status _ Publications Committee Programme +System aspects of electrical energy supply TC 8 P-Member 8 7 Power systems management and associated information exchange TC 57 P-Member 151 66 Safety of household and similar electrical appliances P-Member 80 28 TC 61 TC 64 Electrical installations and protection against electric shock P-Member 45 24 ISO/IEC JTC 1/SC Interconnection of information technology equipment O-Member 22 20 25 ∍

Kenya IEC Associate Member												
General TC/SC Men	embership TC/SC Secretariat Votes											
Participating Member	5	Observer Member	1 та	ital 6								en fr
TC/SC Membershi	р											×
Committee	Descript	ion				*	P/0 Status	*	Publications	*	Work Programme	÷
TC 21	Second	ary cells and batteries					P-Member		33		9	
TC 82	Solar photovoltaic energy systems						P-Member		74		65	
SC 121B	Low-voltage switchgear and controlgear assemblies						P-Member		11		4	
SyC LVDC	Low Voltage Direct Current and Low Voltage Direct Current for Electricity Access						P-Member					
ISO/IEC JTC 1/SC 25	Interconnection of information technology equipment						P-Member		22		20	
ISO/IEC JTC 1/SC 41	Internet	of Things and Digital T	win				O-Member					

South Africa IEC Full Member

General TC/SC Membership		TC/SC Secretariat	Votes									
Participating Member	74	Observer Member	61	Total	135							en fr
TC/SC Membership Committee) Descript	ion				 	P/O Status	* *	Publications	 ₩	Work Programme	
TC 1	Termino	logy					O-Member		86		7	
TC 2	Rotating	ı machinery					P-Member		53		13	
TC 7	Overhead electrical conductors						P-Member		18		4	
TC 8	System	aspects of electrical er	ergy supp	ly			P-Member		8		7	



What is accreditation?

 Accreditation is the independent evaluation of conformity assessment bodies (CABs) against recognized standards to carry out specific activities to ensure their impartiality and competence.



Conformity Assessment (ISO/IEC 17011: Accreditation of CABs)



Why are standards important?

- Standards are tools that help to reduce costs, minimize waste, limit errors and increase productivity.
- Standards help companies to access new markets in developing countries and facilitate global trade.
- Standards contribute to safety, provide protection for the environment, provide for product protection against climatic or other adverse conditions.



Why is conformity assessment important?

- Non acceptance of test reports and certificates is a non-tariff obstacle to trade.
- A harmonized approach to conformity assessment standards facilitates international trade.

Resources for future reference:

- WTO TBT: <u>www.wto.org</u>
- ANSI: <u>www.ansi.org</u>
- ISO: <u>www.iso.org</u>
- IEC: <u>www.iec.ch</u>
- IAF: <u>www.iaf.nu</u>
- ILAC: <u>www.ilac.org</u>



Q & A



Thank you!

Jessica Roop

Senior Manager, International Policy for the America National Standards Institute (ANSI)

jroop@ansi.org

